Annual Compliance Report 2022-2023



Office of Special Education

**West Virginia Board of Education**

**2022-2023**

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# Introduction

The West Virginia Department of Education (WVDE) Office of Special Education (OSE) plays a vital role in ensuring all eligible students with exceptionalities receive a free appropriate public education (FAPE). The Individuals with Disabilities Education Improvement Act 2004 (IDEA) and Policy 2419: Regulations for the Education of Students with Exceptionalities provide the law and regulatory guidance for the implementation of special education services.

This annual compliance report includes data on monitoring activities, dispute resolution, and other general supervision activities completed during the state fiscal year 2023 (FY23) and documents the WVDE’s efforts to meet the requirements under IDEA and WVBE Policy 2419 pertaining to:

**»** administering the monitoring and dispute resolution systems;

**»** identifying findings of noncompliance and making decisions based on on-site monitoring, the annual desk audit (ADA), annual Local Educational Agency (LEA) determinations, written complaints, facilitated Individualized Educational Programs (FIEPs), mediations, and due process hearings; and

**»** publicly reporting the results of these processes.

# Accountability and Monitoring System

The WVDE/OSE is responsible for ensuring West Virginia's compliance with the IDEA and its implementing regulations, as well as West Virginia Code §18-20 (Education of Exceptional Children). This focus on compliance ultimately ensures that students with exceptionalities in West Virginia receive a free appropriate public education (FAPE) in the least restrictive environment (LRE).

To ensure compliance with the Individuals with Disabilities Education Act (IDEA) and improve outcomes for students with disabilities, WVDE/OSE has developed a tiered system of accountability and support to ensure all LEAs meet the requirements of IDEA. This system combines ongoing monitoring activities with a focus on results. During on-site monitoring reviews, each LEA presents its Results Driven Accountability Plan (RDP) to demonstrate its strategies for student success. Additionally, all LEAs complete annual self-assessments to identify areas for improvement. The following formal accountability and monitoring processes are also conducted by the WVDE/OSE:

* Cyclical Monitoring (Universal)
* Differentiated Monitoring (Universal)
* Risk-Based Monitoring (Targeted)
* Focused Monitoring (Intensive)
* Annual Desk Audit
* LEA Determinations
* Dispute Resolution Process

## Compliance Monitoring

Compliance Monitoring is a comprehensive monitoring activity occurring for each of West Virginia’s local educational agencies (LEA) on a four-year cycle, as required by West Virginia Code §18- 20-1 (Education of Exceptional Children). More frequent monitoring reviews may be scheduled as warranted. Each LEA receives on-site monitoring no less than every four years. This activity is done through a remote file review process focusing on various compliance indicators, followed by school visits and on-site document reviews in selected LEAs. A corrective improvement process, including additional on-site visits as necessary, follows the on-site reviews. The monitoring team during the 2022- 2023 school year consisted of WVDE/OSE staff and other educators as determined by the lead monitor.

The following table provides the four-year onsite monitoring cycle.

|  |  |  |  |
| --- | --- | --- | --- |
| **Cyclical On-Site Monitoring**  **2022-2023** | **Cyclical On-Site Monitoring**  **2023-2024** | **Cyclical On-Site Monitoring**  **2024-2025** | **Cyclical On-Site Monitoring**  **2025-2026** |
| * Brooke * Doddridge * Grant * Hampshire * Jackson * Jefferson * WVSDT * Nicholas * Pleasants * Pocahontas * Taylor * Wayne * Webster * Wetzel * WVSDB | * Barbour * Braxton * Calhoun * Clay * Eastern Panhandle Prep Academy * Lewis * Marshall * Mercer * Mineral * Mingo * Monroe * Pendleton * Roane * Tucker * Wood * WV Academy | * Berkeley * Cabell * Fayette * Gilmer * Greenbrier * Hancock * Kanawha * McDowell * Morgan * Ohio * Preston * Randolph * Virtual Prep Academy of WV * Wirt * Wyoming * WV Virtual Academy * WIN Academy | * Boone * Hardy * Harrison * Lincoln * Logan * Marion * Mason * Monongalia * Putnam * Raleigh * Ritchie * Summers * Tyler * Upshur |

## Annual Desk Audit (ADA)

The ADA is submitted annually by each West Virginia school LEA and is a review of both compliance and results State Performance Plan (SPP) Indicators. The ADA is designed to identify strengths

and weaknesses of the LEAs and address findings of noncompliance and areas requiring program improvement. LEAs that do not meet the targets for one or more compliance indicators receive written notification of noncompliance. An improvement plan is required to identify steps to improve results for students with disabilities. Once the improvement plan is found acceptable by the WVDE/OSE, the LEA receives ongoing support to meet its identified goals.

## Focused Monitoring

Focused monitoring is a process where the LEA may receive an on-site visit based on identified need, or information collected from data sources such as long-standing noncompliance, LEA determinations, parent calls, or specific issues brought to the attention of the WVDE. This process may occur concurrently with any other monitoring activity or as an independent activity. The WVDE special education team will work with the LEA to identify root causes and solutions for improving outcomes. Each focused monitoring conducted is individualized to the LEA and the situation.

## Dispute Resolution Process

WVBE Policy 2419: Regulations for the Education of Students with Exceptionalities and the IDEA require that all parents of students with exceptionalities or adult students with exceptionalities have available a process to file written state complaints, due process complaints, request mediation and request facilitated individualized education programs (FIEPs). This important procedural safeguard provides assurance that the rights of students with exceptionalities are being protected. Effective dispute resolution data can enable the state to track identified issues to determine whether patterns or trends exist and the effectiveness of the resolution process.

# Office of Special Education Programs (OSEP) 09-02 Memorandum

The United States Department of Education, Office of special Education Programs issued a memorandum (OSEP 09-02 Memorandum of Correction) to states on October 17, 2008, clarifying expectations for correction of noncompliance by the LEA and the verification of that correction by the state. The principles in this memorandum are the standards by which the WVDE reports noncompliance and correction for the State Performance Plan/Annual Performance Report (SPP/ APR) and determines whether each LEA has made the appropriate corrections. The memorandum

requires two levels, or prongs, of verification showing correction (individual student-level and systemic corrections) for all findings identified in writing to an LEA, excluding State Complaints and Due Process Hearing Decisions.

# 2022-2023 Findings of Noncompliance

The data included in this document provides the total number of findings of non-compliance for the 2022-2023 school year. The findings of noncompliance are provided to each LEA for review and correction. If the state finds noncompliance in an LEA, the State must notify the LEA in writing of the noncompliance and the requirement that the noncompliance be corrected as soon as possible, but in no case more than one year from identification. The one-year correction requirement begins the date the State provides written notification to the LEA. The written notification from the State will detail specific steps the LEA must take to correct the noncompliance. To assure the LEA is correctly implementing the regulatory requirement(s) found to be noncompliant, a random sample of current individualized education plans (IEP) will be reviewed in about six months following the initial finding of noncompliance. This practice is known as Prong 2. Correction is completed on the date the State determines both prongs comply.

# 2022-2023 Compliance Monitoring Findings

Sixteen (16) LEAs received an on-site compliance monitoring visit during the 2022-2023 school year and follow: Boone, Brooke, Doddridge, Grant, Hampshire, Jackson, Jefferson, Pocahontas, Nicholas, Pleasants, Taylor, Wayne, Webster, Wetzel, West Virginia Schools for the Deaf and Blind (WVSDB), and West Virginia Schools for Diversion and Transition (WVSDT). The following information provides the number of LEAs monitored that were noncompliant for the specific area indicated.

# Administrative Review

|  |  |
| --- | --- |
| **Administrative Findings** | **Noncompliant Districts** |
| AF1: Finance: Budget and Expenditures (Requisition, PO, Invoice, Check) | 7 LEAs |
| AF2: Finance: Time/Effort | 2 LEAs |
| AF3: Finance: Audit Findings | 0 LEAs |
| AF4: Instructional Groupings | 3 LEAs |
| AF5: Certification/Caseloads | 12 LEAs |
| AF6: Full Instructional Day | 13 LEAs |
| AF7: Classroom Location and Size | 0 LEAs |
| AF8: Other/Service Verifications | 16 LEAs |
| AF8: Other/Supplementary service documentation was not completed and unavailable for review | 9 LEAs |
| AF8: Other/Supplementary service documentation was incomplete and/or documented incorrectly | 4 LEAs |
| AF8: Other/Discipline procedures not followed for SWD suspended for more than 10 days | 3 LEAs |
| AF8: Other/Shortened day without doctor’s orders | 3 LEAs |
| AF8: Other/Indirect Service Logs were not completed | 2 LEAs |
| AF8: Other/Restraint form did not meet Policy 4737 specifications | 2 LEAs |
| AF8: Other/No documentation of additional attempts from the initial letter of invitation to consult with private schools | 2 LEAs |
| AF8: Other/IEPs out of 365-day timeline | 1 LEA |
| AF8: Other/Change of placement occurred through an amendment | 1 LEA |
| AF8: Other/IEPs were corrected without an amendment or a targeted review | 1 LEA |
| AF8: Other/Changes made to the IEP after the meeting and before being finalized | 1 LEA |
| AF8: Other/Parents did not receive IEPs at the conclusion of the IEP meeting | 1 LEA |
| AF8: Other/Co-taught minutes did not meet the required service on the students’ IEPs | 1 LEA |
| AF8: Other/Speech therapy services have not been provided to multiple schools in the district | 1 LEA |
| AF8: Other/ Classroom aide providing initial instruction | 1 LEA |
| AF8: Other/Student’s homebound LRE code was incorrect | 1 LEA |
| AF8: Other/General education students placed in a special education class | 1 LEA |
| AF8: Other/Teacher/student ratio for co-teaching class over the 50% allowance | 1 LEA |
| AF8: Other/Teacher/student ratio per period for resource class over the policy limit of 12 students | 1 LEA |
| AF8: Other/ Special education teachers teaching multiple subjects at the same time | 1 LEA |
| AF8: Other/Students receiving special education minutes after the instructional day has ended | 1 LEA |
| AF8: Other/Students with disabilities serving in-school suspension during an in-session special education class | 1 LEA |
| AF8: Other/Homebound logs were unavailable to verify services | 1 LEA |
| AF8: Other/Counselor logs were unavailable to verify services | 1 LEA |
| AF8: Other/Lesson plans were unavailable for review | 1 LEA |
| AF8: Other/Personalized Education Plans (PEP) were not available for review | 1 LEA |
| AF8: Other/Special education teachers are not progress monitoring goals for students on their caseload | 1 LEA |
| AF8: Other/Behavior Intervention Plans were not shared with teachers | 1 LEA |
| AF8: Other/Access to caseloads and student lists were not provided to special education teachers prior to the first day of school for students | 1 LEA |
| AF8: Other/General education teachers did not read student IEPs prior to the beginning of the school year | 1 LEA |
| AF8: Other/Forfeiture of special education funds due to a lack of timeliness to expend | 1 LEA |
| AF8: Other/Timely and accurate submission of desk audit information was not provided prior to the deadline | 1 LEA |
| AF8: Other/Special Education rooms identified | 1 LEA |

Student File Review

Noncompliance identified using the IDEA Part B Programmatic Monitoring Procedures Manual.

|  |  |
| --- | --- |
| **File Review Summary of Percentage of Noncompliance** | **LEAs Below 75%** |
| General Supervision |  |
| MN1: 8 Day Notice | 0 LEAs |
| MN2: Reason for Meeting / Invited Members | 0 LEAs |
| MN3: Procedural Safeguards | 0 LEAs |
| MN4: Parent Invitation | 4 LEAs |
| GS1: IEP Amendments | 8 LEAs |
| GS2: Prior Written Notice | 1 LEA |
| GS3: Summar of Performance (SoP) | 0 LEAs |
| EL1: Parental consent for initial evaluation or reevaluation | 1 LEA |
| EL2: Parent input was used in determining eligibility | 1 LEA |
| EL3: Evaluation Procedures | 1 LEA |
| EL4: Evaluation documentation consistent with eligibility criteria | 1 LEA |
| EL5: Evaluation documentation meets required timelines | 0 LEAs |
| IEP1: IEP Annually Reviewed | 0 LEAs |
| IEP2: IEP Team Properly Staffed | 5 LEAs |
| IEP3: ESY Services | 9 LEAs |
| IEP4: Transfer of Rights (Age of Majority) | 9 LEAs |
| IEP5: Present Levels: Impact Statement | 0 LEAs |
| IEP6: Present Levels: Communication is clear | 0 LEAs |
| IEP7: Present Levels: Performance Gaps | 0 LEAs |
| IEP7.1: Present Levels: Predetermination of Placement Language | 7 LEAs |
| IEP7.2: Targeted standard selected | 0 LEAs |
| IEP8: Progress Reporting to Parents | 0 LEAs |
| IEP9: Annual Goal: Critical Skills | 0 LEAs |
| IEP10: Annual Goal: Timeframe | 0 LEAs |
| IEP11: Annual Goal: Condition | 0 LEAs |
| IEP12: Annual Goal: Behavior | 0 LEAs |
| IEP13: Annual Goal: Criteria | 0 LEAs |
| IEP14: Annual Goal: Procedure | 0 LEAs |
| SR1: Supplementary Services: Identified | 0 LEAs |
| SR2: Supplementary Services: Location | 4 LEAs |
| SR3: Supplementary Services: Extent/ Frequency | 2 LEAs |
| SR4: Supplementary Services: Initiation Date | 3 LEAs |
| SR5: Supplementary Services: Duration Date | 0 LEAs |
| SR6: Special Education Services: Identified | 0 LEAs |
| SR7: Special Education Services: Location | 0 LEAs |
| SR8: Special Education Services: Extent/ Frequency | 0 LEAs |
| SR9: Special Education Services: Initiation Date | 3 LEAs |
| SR10: Special Education Services: Duration Date | 1 LEA |
| SR11: Related Services: Identified | 0 LEAs |
| SR12: Related Services: Location | 0 LEAs |
| SR13: Related Services: Extent/Frequency | 1 LEA |
| SR14: Related Services: Initiation Date | 4 LEAs |
| SR15: Related Services: Duration Date | 2 LEAs |
| AS1: All statewide assessments (MAPS) contain appropriate accommodations | 2 LEAs |
| AS2: Students on Alternate Academic Achievement Standards (WVAAAS) | 0 LEAs |
| LRE1: Placement: Extent of participation with nonexceptional students | 4 LEAs |
| LRE2: Placement: Percentage of Time | 0 LEAs |
| LRE3: Placement: Options (LRE Code) | 0 LEAs |
| LRE4: Initial Placement: Parental Consent | 3 LEAs |
| SV1: Service Verifications | 16 LEAs |
| Transition File Review |  |
| TR1: Permission to Invite Agency | 14 LEAs |
| TR2: Agency Invited | 8 LEAs |
| TR3: Post Secondary Goal: Education/ Training | 3 LEAs |
| TR4: Post Secondary Goal: Employment | 0 LEAs |
| TR5: Post Secondary Goal: Independent Living | 1 LEA |
| TR6: Age-Appropriate Transition Assessment | 14 LEAs |
| TR7: Course of Study | 16 LEAs |
| TR8: Student Invitation to IEP Team Meeting | 7 LEAs |
| TR9: Annual Transition Goal | 4 LEAs |
| TR10: Activities/Linkages | 0 LEAs |
| TR11: Personalized Education Plan Signatures | 15 LEAs |
| Discipline File Review |  |
| DC1: WVEIS Discipline Data Entry | 0 LEAs |
| DC1.1: Change of Placement: Determination | 0 LEAs |
| DC2: Change of Placement: Procedural Safeguards | 3 LEAs |
| DC3: Change of Placement: Manifestation Determination Review (MDR | 2 LEAs |
| DC4: Manifestation of the student’s disability | 2 LEAs |
| DC5: Not a manifestation of the student’s disability | 1 LEA |
| DC6: Teacher consultation | 1 LEA |
| DC7: Positive Behavior Intervention and Supports (PBIS | 3 LEAs |

*Efforts to affect continuous improvement for Indicator #13 (Secondary Transition) include technical assistance to LEAs delivered prior to the collection and reporting of transition age IEPs reviewed during the on-site monitoring process. In addition, the OSE continues to mandate the annual self-assessment process for Indicator 13 for those LEAs not receiving an on-site monitoring review. The table below provides the compliance data prior to the correction period for those LEAs who received an on-site monitoring review and does not include the self-reporting during the ADA. Technical assistance for Indicator 13 will continue until compliance targets are met by each LEA on a systemic basis.*

# Secondary Transition On-Site Monitoring File Review

|  |  |  |
| --- | --- | --- |
| **County LEA** | **File Sample Size** | **Compliance Percentage** |
| LEA 1 | 11 | 64% |
| LEA 2 | 12 | 57% |
| LEA 3 | 11 | 73% |
| LEA 4 | 11 | 74% |
| LEA 5 | 12 | 59% |
| LEA 6 | 10 | 77% |
| LEA 7 | 11 | 66% |
| LEA 8 | 10 | 71% |
| LEA 9 | 13 | 66% |
| LEA 10 | 13 | 57% |
| LEA 11 | 12 | 78% |
| LEA 12 | 11 | 73% |
| LEA 13 | 11 | 71% |
| LEA 14 | 12 | 54% |
| LEA 15 | 12 | 74% |
| LEA 16 | 10 | 87% |

# Annual Desk Audit

The WVDE/OSE has developed an annual desk audit (ADA) to address the SPP/APR results and compliance indicators at the LEA level, which is submitted electronically each year. This data-driven system creates a relationship between monitoring, determinations, and improvement planning. The SPP/APR defines state targets for results indicators, and LEAs not meeting state targets in one or more results indicators are required to develop a targeted systemic improvement plan (TSIP). Compliance indicator targets of 100% or 0% are set by the U.S. Department of Education’s Office of Special Education Programs (OSEP), and LEAs not meeting the compliance indicator targets in the ADA will receive a letter identifying each area of noncompliance.

Thirty-eight (38) LEAs received at least one or more written notifications of noncompliance identified in the ADA for the SPP/APR compliance indicators:

* Two (2) LEAs were identified as noncompliant for ***Indicator 4B: Suspension/Expulsion by Race/Ethnicity***
  + A suspension/expulsion rate for children with IEPs by race/ethnicity is two (2) times the state rate (i.e., 3.24%) or higher, and
  + policies, procedures, or practices that contribute to the significant discrepancy do not comply with requirements relating to the development and implementation of IEPs, the use of positive behavioral interventions and supports, and procedural safeguards.
* Twenty-two (22) LEAs were identified as noncompliant for ***Indicator 11: Child Find.***
  + The percentage of children who were evaluated and had their eligibility determined within 80 days of receiving parental consent for initial evaluation.
* Three (3) LEAs were identified as noncompliant for ***Indicator 12: Early Childhood Transition***.
  + Percent of children referred by West Virginia Birth to Three (IDEA Part C) prior to age three, who are found eligible for pre-school services (Part B) and who have an IEP developed and implemented by their third birthday.
* Twenty-one (21) LEAs were identified as noncompliant for ***Indicator 13: Secondary Transition.***
  + Percent of youth with IEPs age 14 and above with an IEP that includes appropriate measurable postsecondary goals that are annually updated and based upon an age- appropriate transition assessment, transition services, including courses of study, that will reasonably enable the student to meet those postsecondary goals, and annual IEP goals related to the student’s transition needs. There also must be evidence that the student was invited to the IEP Team meeting where transition services are to be discussed and evidence that, if appropriate, a representative of any participating agency was invited to the IEP Team meeting with the prior consent of the parent or student who has reached the age of majority.

# Local Educational Agency (LEA) Determinations

IDEA section 616(e) and Part B Regulations §300.600(a) and 300.604 require states to annually determine if the LEA:

**»** Meets the requirements and purposes of IDEA, Part B;

**»** Needs assistance in implementing the requirements of Part B;

**»** Needs intervention in implementing the requirements of Part B; or

**»** Needs substantial intervention in implementing the requirements of Part B.

In making each LEA’s Annual Determination, WVDE/OSE used Results and Compliance matrixes. The four (4) factors considered were: LEA’s performance on selected SPP/APR results and compliance indicators; valid and reliable data; dispute resolution; and other data available to the State about the LEA’s compliance, including relevant audit findings.

**Changes Made to LEA Determination Methodology for SY 2022-23**

To promote balance and equitability across all LEAs, minimum n-sizes (i.e., the number of students who are included in the denominator of a calculation) have been implemented for certain results indicators. In addition, to recognize and incentivize improved outcomes, partial credit for growth may be awarded if the LEA does not meet the state target for an indicator but exceeded its performance from the previous year and met any applicable minimum n-size for both the current and previous year. Participation in the SSIP is also incentivized. While compliance with IDEA requirements remains a focus of determinations, the total points possible are weighted slightly toward results to emphasize the goal of improving outcomes for students with disabilities. The following additional changes were also made:

* The assessment indicators 3A, 3B, 3C, and 3D, are worth 1.5 points each (0.25 per sub-indicator) to reflect WVDE’s priorities and initiatives regarding literacy and numeracy.
* In response to stakeholder feedback, points for Pre-K Outcomes (Indicator 7) were adjusted to emphasize growth while still recognizing achievement.
* The points for Timely and Accurate Data Submission were increased from 0.5 points to 1 point for each of the six data collection elements to highlight the importance of submitting high-quality data in a timely manner and underscore that LEA data are ultimately the State’s data.
* Indicator 14C requires a response rate of ≥50% so that the resulting calculations are more meaningful indicators of post-school outcomes for specific LEAs.
* The Determination Rubric was revised to be more sensitive to the LEA’s absolute performance, allowing for more timely identification of needs and the provision of necessary supports.

The LEA Determination Matrix reflects a percentage score that was used to determine the LEAs’ 2023 Annual Determinations as follows:

* **Meets Requirements (MR).** An LEA receives a determination of Meets Requirements if the LEA receives 80 percent or more of the points possible in the LEA’s annual determination matrix.
* **Needs Assistance (NA).** An LEA receives a determination of Needs Assistance if the LEA receives between 70 and 79 percent of the points possible in the LEA’s annual determination matrix.
* **Needs Intervention (NI).** An LEA receives a determination of Needs Intervention if the LEA receives between 60 and 69 percent of the points possible in the LEA’s annual determination matrix, ***or*** if the LEA receives between 70 and 79 percent of the points possible and was previously in Needs Assistance for three consecutive years. An LEA must receive 80 percent or greater to move from NI to MR.
* **Needs Substantial Intervention (NSI).** An LEA receives a determination of Needs Substantial Intervention if the LEA receives less than 60 percent of the points possible in the LEA’s annual determination matrix, ***or*** if the LEA receives between 60 and 69 percent of the points possible and was previously in Needs Intervention for three consecutive years. An LEA must receive 75 percent or greater to move from NSI to NA, or 80 percent or greater to move from NSI to MR.

The following is a summary of the 57 LEA Determinations from SY 2022-23:

* **Meets Requirements:** 42/57 LEAs (73.7% of LEAs)
* **Needs Assistance – One Year:** 10/57 LEAs (17.5% of LEAs)
* **Needs Assistance – Two Years:** 2/57 LEAs (3.5% of LEAs)
* **Needs Intervention – One Year:** 2/57 LEAs (3.5% of LEAs)
* **Needs Substantial Intervention:** 1/57 LEA (1.8% of LEAs)

**Meets Requirements**

Barbour County Schools Braxton County Schools Cabell County Schools

Calhoun County Schools Clay County Schools Doddridge County Schools

Fayette County Schools Gilmer County Schools Grant County Schools

Hancock County Schools Hardy County Schools Harrison County Schools

Jackson County Schools Lewis County Schools Logan County Schools

Marion County Schools Marshall County Schools Mercer County Schools

Mineral County Schools Mingo County Schools Monroe County Schools

McDowell County Schools Nicholas County Schools Ohio County Schools

Pendleton County Schools Pleasants County Schools Pocahontas County Schools

Preston County Schools Putnam County Schools Raleigh County Schools

Randolph County Schools Ritchie County Schools Roane County Schools

Taylor County Schools Tucker County Schools Upshur County Schools

Wayne County Schools Webster County Schools Wirt County Schools

Wyoming County Schools

West Virginia Schools for the Deaf and the Blind (WVSDB)

West Virginia Schools of Diversion and Transition (WVSDT)

**Needs Assistance — 1 Year**

Boone County Schools Brooke County Schools Greenbrier County Schools

Jefferson County Schools Kanawha County Schools Mason County Schools

Monongalia County Schools Summers County Schools Tyler County Schools

Wetzel County Schools

**Needs Assistance — 2 Years**

Berkeley County Schools Wood County Schools

**Needs Intervention — 1 Year**

Lincoln County Schools Morgan County Schools

**Needs Substantial Intervention — 1 Year**

Hampshire County Schools

# LEA Determinations: Sustaining Compliance and Improvement

If an LEA is ***Needs Assistance*** for at least two consecutive years, the LEA:

* will be required to work with relevant sources of technical assistance;
* will not be permitted to reduce its Maintenance of Effort (MOE) for any fiscal year; and
* may be identified as a high-risk grantee and have Specific Conditions imposed on the LEA’s IDEA Part B grant award.

If an LEA is ***Needs Intervention*** for three or more consecutive years, the LEA:

* may be subject to one or more of the enforcement actions described under “Needs Assistance” above;
* may be required to prepare a corrective action plan or improvement plan to correct the identified area(s); and
* may have further payments under Part B withheld, in whole or in part, or redirected toward addressing any identified issues contributing to the underperformance of the LEA.

If an LEA is ***Needs Substantial Intervention*** at any time, the LEA:

* must have further payments under Part B withheld in whole or in part after reasonable notice and opportunity for a hearing (34 C.F.R. §§ 300.155, and 76.401(d)), or have these funds redirected toward addressing any identified issues contributing to the underperformance of the LEA;
* will be subject to an on-site review in addition to the LEA’s cyclical compliance review with findings issued for each area of noncompliance identified; and
* will be required to address areas of noncompliance and systemic issues through an improvement plan.

**20XX LEA Determination Matrix**

***LEA Name***

**IDEA Part B Results Matrix**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Measurement** | | **Data Year** | **State Target %** | **State %** | **LEA**  **%** | **Points Possible** | **Points Earned** | |
| **Met** | **Growth** |
| ***Indicator 1*** — ***Graduation*** | | 22-23 |  |  |  | 1 |  |  |
| ***Indicator 2*** — ***Dropout*** | | 22-23 |  |  |  | 1 |  |  |
| ***Indicator 3A*** | **Grade 4** Assessment ***Participation*** ***Rate*** for students with IEPs ***ELA*** (GSA and ASA) | 22-23 |  |  |  | .25 |  | ***N/A*** |
| **Grade 8** Assessment ***Participation*** ***Rate*** for students with IEPs ***ELA*** (GSA and ASA) |  |  |  | .25 |  | ***N/A*** |
| **Grade 11** Assessment ***Participation*** ***Rate*** for students with IEPs ***ELA*** (GSA and ASA) |  |  |  | .25 |  | ***N/A*** |
| **Grade 4** Assessment ***Participation*** ***Rate*** for students with IEPs ***Math*** (GSA and ASA) | 22-23 |  |  |  | .25 |  | ***N/A*** |
| **Grade 8** Assessment ***Participation*** ***Rate*** for students with IEPs ***Math*** (GSA and ASA) |  |  |  | .25 |  | ***N/A*** |
| **Grade 11** Assessment ***Participation*** ***Rate*** for students with IEPs ***Math*** (GSA and ASA) |  |  |  | .25 |  | ***N/A*** |
| ***Indicator 3B\**** | **Grade 4** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (GSA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (GSA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (GSA) |  |  |  | .25 |  |  |
| **Grade 4** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (GSA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (GSA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (GSA) |  |  |  | .25 |  |  |
| ***Indicator 3C\**** | **Grade 4** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (ASA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (ASA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency Rate*** for students with IEPs ***ELA*** (ASA) |  |  |  | .25 |  |  |
| **Grade 4** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (ASA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (ASA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency Rate*** for students with IEPs ***Math*** (ASA) |  |  |  | .25 |  |  |
| ***Indicator 3D\**** | **Grade 4** Assessment ***Proficiency Gap*** between students with IEPs and all students ***ELA*** (GSA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency Gap*** between students with IEPs and all students ***ELA*** (GSA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency Gap*** between students with IEPs and all students ***ELA*** (GSA) |  |  |  | .25 |  |  |
| **Grade 4** Assessment ***Proficiency*** ***Gap*** between students with IEPs and all students ***Math*** (GSA) | 22-23 |  |  |  | .25 |  |  |
| **Grade 8** Assessment ***Proficiency*** ***Gap*** between students with IEPs and all students ***Math*** (GSA) |  |  |  | .25 |  |  |
| **Grade 11** Assessment ***Proficiency*** ***Gap*** between students with IEPs and all students ***Math*** (GSA) |  |  |  | .25 |  |  |
| ***Indicator 4A — Suspension/Expulsion*** *(>10 days)* | | 22-23 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 5A — Education Environments (6-21): General Education – Full Time*** *(LRE: 0)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 5B — Education Environments (6-21): Separate Class*** *(LRE: 2)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 5C — Education Environments (6-21): Special School, Homebound/Hospital, Residential*** *(LRE: 3, 5, 6)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 6A\* — Pre-K Environments: Regular Early Childhood Program*** *(LRE: W, Y)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 6B\* — Pre-K Environments: Separate Class, Spec. School, Residential*** *(LRE: W, Y)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 6C\*\* — Pre-K Environments: Home*** *(LRE: R)* | | 23-24 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 7A\* — Pre-K Outcomes: Social Emotional*** *(1-Growth, 2-Achievement)* | | 22-23 | ***1.*** | ***1.*** | ***1.*** | .75 |  |  |
| ***2.*** | ***2.*** | ***2.*** | .25 |  |  |
| ***Indicator 7B\* — Pre-K Outcomes: Knowledge/Skills*** *(1-Growth, 2-Achievement)* | | 22-23 | ***1.*** | ***1.*** | ***1.*** | .75 |  |  |
| ***2.*** | ***2.*** | ***2.*** | .25 |  |  |
| ***Indicator 7C\* — Pre-K Outcomes: Appropriate Behavior*** *(1-Growth, 2-Achievement)* | | 22-23 | ***1.*** | ***1.*** | ***1.*** | .75 |  |  |
| ***2.*** | ***2.*** | ***2.*** | .25 |  |  |
| ***Indicator 8*** — ***Parent Involvement*** (if applicable) | | 22-23 |  |  |  | 1 |  |  |
| ***Indicator 14r\**** — ***Response Rate*** | | 22-23 |  |  |  | 1 |  | ***N/A*** |
| ***Indicator 14C\**** — ***Post-School Outcomes*** *(postsecondary education, training, or employment)*  *(Must meet or exceed a 50% response rate for 14C point eligibility)* | | 22-23 |  |  |  | 1 |  |  |

***Note:*** *\* An n-size of ≥ 10 is required to be included in results*

*\*\* An n-size of ≥ 50 is required to be included in results.*

|  |  |
| --- | --- |
| Points Earned | **Results Percentage** |
| X | x% |
| Points Possible |
| X |

**20Logo

Description automatically generatedXX LEA Determination Matrix**

***LEA Name***

**IDEA Part B Compliance Matrix**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Measurement** (Equity) | **Data Year (SY)** | **State Target %** | **Met / Not Met** | **Points Possible** | **Points Earned** |
| ***Indicator 4B*** — Suspension/Expulsion by Race/Ethnicity (>10 days) | 22-23 | 0 |  | 2 |  |
| ***Indicator 9*** — Disproportionate Representation | 23-24 | 0 |  | 2 |  |
| ***Indicator 10*** — Disproportionate Representation (Specific Disability Categories) | 23-24 | 0 |  | 2 |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Measurement** (General Supervision) | **Data Year (SY)** | **State Target %** | **State %** | **LEA**  **%** | **Points Possible** | **Points Earned** |
| ***Indicator 11*** — Child Find (Initial Evaluation Timelines)† | 22-23 | 100 |  |  | 2 |  |
| ***Indicator 12*** — Early Childhood Transition† | 22-23 | 100 |  |  | 2 |  |
| ***Indicator 13*** — Secondary Transition† | 23-24 | 100 |  |  | 2 |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Other Compliance Data Sources** | **Data Year (SY)** | **Points Possible** | **Points Earned** |
| **Dispute Resolution** | 22-23 | 2 |  |
| **Correction of Noncompliance** | 22-23 | 2 |  |
| **Monitoring and Accountability** (if applicable) | 22-23 | 2 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Timely and Accurate Data Submission** | **Data Year (SY)** | **Timely**  (Y/N) | **Accurate**  (Y/N) | **Points Possible** | **Points Earned** |
| EOY Exit and Discipline | 22-23 |  |  | 1 |  |
| IDEA/State Aid Grant Application | 23-24 |  |  | 1 |  |
| December 1 Child Count | 23-24 |  |  | 1 |  |
| Personnel Report | 23-24 |  |  | 1 |  |
| Annual Desk Audit | 23-24 |  |  | 1 |  |
| Other requested documents (complaints, monitoring, etc.) | 23-24 |  |  | 1 |  |

***Note:*** †*To earn points for Indicators 11, 12, or 13, the LEA must also have corrected any previous findings of noncompliance within one year, if applicable.*

|  |  |
| --- | --- |
| Points Earned | **Compliance Percentage** |
| X | x% |
| Points Possible |
| X |

***Bonus***

|  |  |  |
| --- | --- | --- |
| ***Measurement*** | ***Points Possible*** | ***Points Earned*** |
| ***State Systemic Improvement Plan (SSIP) Participation*** | .5 | **X** |

***Note:*** *May not increase overall LEA percentage beyond 100%*

**20XX LEA Determination**

*LEA* *Name*

|  |  |  |  |
| --- | --- | --- | --- |
| **Points Earned** | (Bonus) | **Percentage** | **Determination** |
| x | X | **X%** | **XXXXXXXXXXX** |
| **Points Possible** | **Previous Determination** |
| x | **X** |

# West Virginia Interagency Consolidated Monitoring of Out-of-State Residential Facilities

The West Virginia Legislature created The Commission to Study Residential Placement of Children to establish a mechanism to achieve systemic reform by which all the state’s child-serving agencies involved in the residential placement of at-risk youth jointly and continually study and improve upon this system. One of the topics of study outlined by the legislation when it formed the Commission was to develop ways to certify out-of-state providers to ensure that children who must be placed out-of-state receive high quality services consistent with West Virginia’s standards. As part of this charge, the West Virginia Department of Health and Human Resources (WVDHHR) and the WVDE joined efforts to develop and implement a collaborative monitoring system to review out-of-state facilities providing treatment and educational services to West Virginia youth.

For students with disabilities, each state has a responsibility, under federal statute and regulation, to have a system of general supervision that monitors the implementation of IDEA. The WVDE implemented the educational monitoring of out-of-state facilities in April 2002. In 2012 an interagency team comprised of WVDE and WVDHHR, developed the interagency consolidated monitoring process and a manual which describes the procedures to monitor out-of-state facilities servicing West Virginia students. These procedures aim to ensure appropriate treatment and educational services are being provided in a safe environment. The team representing WVDE and WVDHHR conducts on-site reviews of out-of-state facilities that provide services to students in West Virginia. A consolidated written report is issued to the facility administrator following the exit conference. Each report consists of recommendations for educational improvement, any child-specific and/or systemic findings of noncompliance under IDEA, WV state educational policies, WV state and federal codes, or WVDHHR rules, policies, and procedures. Corrective action plans are imposed when appropriate. In addition, at the conclusion of the on-site monitoring and in the event suspension of placements or removal of members/students is ordered, the entire review team must return for a second on-site monitoring visit to determine the facility’s correction of the deficiencies prior to a suspension being lifted.

The interagency team completed four (5) on-site reviews for the 2022-2023 school year. The facilities which received an on-site review were:

* Sandy Pines-Tequesta, FL
* Alabama Clinical Schools- Birmingham, AL
* The Hughes Center- Danville, PA
* Harbor Point Behavioral Health Center-Portsmouth, VA
* Newport News Behavioral Health Center- Newport News, PA

All facilities reviewed had educational findings of noncompliance and corrective action plans were required. Common educational findings of noncompliance in out of state facilities include lack of appropriate certification for school faculty; IEP services minutes unable to be verified by the school schedule; applicable students not consistently participating in their IEP Team meetings when transition services are addressed; notification of parents for IEP meetings and restraints; other findings are unique to individual facilities.

# Dispute Prevention and Resolution System

When LEAs and parents have disagreements regarding students with exceptionalities, the WVDE encourages the parties to make every effort to resolve their differences informally through conferences and/or IEP Team meetings. For those cases when it is not possible to informally resolve a disagreement, the WVDE administers a system for dispute resolution, which includes options

for written state complaints, mediations and due process complaints regarding the identification, evaluation, placement and/or provision of a free appropriate public education. A state complaint is a charge that a special education law or regulation is not being followed and is investigated at the WVDE by OSE staff. A complaint may also address a LEA’s failure to implement a due process hearing decision.

A due process hearing provides a forum in which an impartial hearing officer resolves the dispute between the parents and the county LEA unless it is settled by an agreement of both parties through a resolution session. Parents and LEAs are encouraged to use mediation, which is less formal than a complaint or a due process hearing, to

resolve disagreements. In addition, as a preventative measure, the WVDE has added the FIEP process whereby trained, impartial facilitators assist the parties to resolve the issues by collaboratively developing an IEP to meet the student’s needs.

**Facilitated Individual Education Program (FIEP):**

Total number of FIEPs requested 25

Total number of FIEPs completed 22

Total number of FIEP requests withdrawn 0

Total number of FIEP requests wherein parents refused to participate 3

Total number of FIEP requests not held due to resolution of issues 0

Total number of FIEP requests wherein LEA refused to participate 0

[State Complaints:](#_TOC_250000)

Total number of state complaints requested 25

Total number of state complaints determined insufficient 4

Total number of state complaints where agreement was reached through early resolution 3

Total number of state complaints which were withdrawn 3

Total number of state complaints where agreement was reached through mediation 0

Total number of state complaints where issues were deferred pending due process 0

Total number of Letter of Findings issued 13

Mediations:

Total number of mediations requested 8

Total number of written agreements 5

Total number of mediations pending 0

Total number of mediations without agreements or withdrawn 3

Due Process Hearings:

Total number of due process hearings requested………………………………………………………………………………………22

Total number of cases dismissed (resolution agreement, mediation agreement, withdrawal or other resolution without hearing)…………………………………………………………………………………………………………………………. 15

Total number of cases resulting in a decision by a hearing officer 0

## Facilitated Individualized Education Program (FIEP)

An FIEP is a student focused IEP process designed to help the IEP Team overcome the pressures and challenges of a potentially contentious meeting. While the FIEP is not a required dispute resolution option under IDEA, West Virginia has joined many other states in making this option available. A Facilitated IEP Team meeting provides an opportunity for early conflict prevention and may be requested by LEAs, parents of children with disabilities, and adult students (18 years and older) with disabilities.

Upon receipt of a request for a FIEP meeting, the OSE assigns a facilitator whose primary responsibility is to assist IEP Team members in the thoughtful and productive development of a quality IEP focused on the student’s specific needs. A trained, impartial professional facilitator or pair of facilitators will attend the IEP Team meeting to assist the members of the IEP Team in remaining focused on student issues and goals while addressing conflicts and disagreements that may arise during the meeting. The process may be used for any IEP Team meeting or eligibility meeting. IEP facilitation is free to all participants.

The IEP Facilitator’s role is to:

1. Keep the meeting focused on the student.
2. Ensure that all IEP team members have an opportunity to participate.
3. Encourage active listening by all participants.
4. Keep the group moving toward consensus without getting stalled on one part of the IEP.

To formally request a Facilitated IEP Team meeting, parents or school staff may contact their LEA’s special education director or complete a Request for a Facilitated IEP Team meeting form on the WVDE website at *https://wvde.us/special-education/policies-and-compliance/monitoring-and-compliance*. Impartial facilitators will be selected by the OFPS on a rotational basis. The entire IEP Team will participate in the Facilitated IEP Team meeting.

Both parties must agree to participate in the facilitated IEP process to schedule the meeting and subsequently, a mutually agreed upon date and time for the meeting shall be established. A request for a Facilitated IEP cannot delay the timeline for completion of the student’s annual IEP Team meeting.

# State Complaints

The federal regulations for implementing Part B of the IDEA require each state to administer a system for investigating and resolving state complaints. A formal state complaint is a charge that special education laws or regulations are not being followed by a LEA or public agency.

An individual or organization may file a state complaint under the procedures described in Policy 2419,

Chapter 11. A form for filing a state complaint is accessible on the WVDE website. Although the use of this form is not required, the complaint must be in writing, contain the complainant’s signature and meet the criteria specified in Chapter 11, Section 2.A.

The WVDE has adopted written procedures for responding to and investigating state complaints and widely disseminates these procedures to parents and other interested individuals including parent training and information centers, protection and advocacy agencies, independent living centers and other appropriate entities in the state.

Within sixty days of receipt of a state complaint, the WVDE must carry out an independent investigation if the WVDE determines the state complaint is sufficient. Upon review of all relevant information, the WVDE must make an independent determination as to whether the public agency is violating state or federal special education laws or regulations. The WVDE issues a written decision to the LEA and the parent that addresses each allegation in the complaint and contains findings

of facts and conclusions, the reasons for the WVDE’s final decision, and procedures for effective implementation of the WVDE’s final decisions, if needed, including corrective actions to achieve compliance.

# State Complaints and Due Process Complaints

If a written state complaint is received that is also the subject of a due process complaint or contains multiple issues of which one or more are part of the due process complaint, the WVDE shall set

aside any part of the state complaint that is being addressed in the due process complaint until the conclusion of the hearing. Any issue not part of the due process action will be resolved following the established state complaint procedures and timelines. For issues that are addressed in the due process hearing, the hearing officer’s decision is binding and the WVDE must inform the complainant to that effect. Any remaining issues not addressed in the due process hearing decision will be investigated upon receipt of the hearing decision by the WVDE in accordance with the established state complaint procedures and timelines.

A state complaint alleging a LEA’s failure to implement a due process hearing decision must be investigated and resolved by the WVDE utilizing the state complaint procedures.

# Early Resolution of State Complaints

Either the special education director or the parent/adult student may initiate an early resolution to a state complaint investigation by contacting the other party and participating in a local conference if both the LEA and parent voluntarily agree to utilize the early resolution option. If early resolution is reached on any or all allegations within fifteen days of being notified of the receipt of the state

complaint, the LEA need not submit its written response to the allegations to the WVDE, and the state complaint will be considered resolved. Allegations not resolved will be investigated using established procedures and timelines.

# Mediation and State Complaints

Another option for resolving a state complaint is mediation. The parent and the LEA may agree to voluntarily engage in mediation consistent with the WVDE’s procedures to resolve the issues in the complaint. If both parties agree, the timeline for the investigation may be extended to accommodate the mediation session. If a mediation agreement is reached, the decisions are documented in a settlement agreement and the complaint is considered resolved. A settlement agreement is binding in any court of competent jurisdiction.

Of the **twenty-one (21)** state complaints submitted during the 2022-2023 school year, **nine (9)** were fully investigated and resulted in the WVDE issuing a Letter of Findings (LOF). **Four (4)** state complaint requests were determined to be insufficient. The remaining **8 complaints** were resolved and/or withdrawn.

## Most Prevalent Violations Identified in 2023 State Complaints

1. Failure to develop and implement a compliant IEP in a student’s LRE.
2. Failure to address student behaviors and provide appropriate intervention services
3. Parental Participation

# Mediation

Mediation is an informal process for assisting parents and LEAs to resolve disputes and reach agreements. Mediation is voluntary on the part of both parties and opens the lines of communication which should benefit the student, parents and school personnel throughout the student’s school career. Hopefully, when mediation is requested, parents and school personnel will have the opportunity to resolve their differences amicably, make decisions with the student’s best interest in mind; and therefore, reduce the need for further dispute resolution options. Parents and LEAs are encouraged to use mediation, which is a less formal process than a due process hearing, to resolve disagreements.

## Mediation Requests 2023

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Number of Mediations Requested** | **Number of Mediations Requested in Lieu of Resolution Meetings** | **Mediations Withdrawn or Dismissed** | **Mediation Agreements** | **Mediations Held Without an Agreement** |
| **8** | **4** | **0** | **6** | **2** |

*\*Note that M22-002 was pending at the end of FY22.*

**Mediation Issues Chart**

|  |  |  |
| --- | --- | --- |
| **Case** | **Reason for Request** | **Outcome** |
| M23-001 | Mediation in lieu of resolution for Due Process D22-014 | Agreement |
| M23-002 | Mediation in lieu of resolution for Due Process D23-002 | Agreement |
| M23-003 | Parent alleges LEA changed IEP minutes due to staffing changes and shortages. | No Agreement |
| M23-004 | Mediation in lieu of resolution for Due Process D23-006 | Agreement |
| M23-005 | Mediation for State Complaint C22-019 | Agreement |
| M23-006 | Mediation for State Complaint C23-011 | No Agreement; state complaint withdrawn |
| M23-007 | The LEA requested mediation because agreement could not be reach with parents about services on the student’s IEP. | Agreement |
| M23-008 | Mediation in lieu of resolution for Due Process D23-011 | Agreement |

# Mediation Costs

The West Virginia Department of Education assumes the total cost of the mediator assigned to the requested mediation. Mediators are selected by a solicitation process mandated by the State of West Virginia through the West Virginia Purchasing Division to conduct the mediation pursuant to the procedures specified in the IDEA and Policy 2419: Regulations for the Education of Students with

Exceptionalities. Mediators are compensated at the rate per hour specified on their individual contract for preparation, conducting the mediation and travel time. Total mediation costs for FY23 were

$22,204.50. The chart below provides a breakdown of mediation costs by case.

|  |  |
| --- | --- |
| **Case Number** | **Cost** |
| M23-001 | $1384.50 |
| M23-002 | $960.00 |
| M23-003 | $540.00 |
| M23-004 | $2440.00 |
| M23-005 | $2420.00 |
| M23-006 | $3360.00 |
| M23-007 | $4480.00 |
| M23-008 | $6620.00 |

**Total Costs - $ 22,204.50**

## Due Process Hearing

Special education laws and regulations ensure that all students with exceptionalities have available a free appropriate public education. The WVDE, OFPS, is required to accept due process complaints regarding the identification, evaluation, educational placement and/or provision of FAPE for exceptional students. Due process complaints and hearings are important procedural safeguards for parents and are required by federal law. A parent, an adult student with an exceptionality, an LEA or

an attorney representing either party may request a hearing by filing a due process complaint with the LEA’s superintendent or the WVDE.

## Due Process Complaint Resolution Meeting

In the IDEA, Congress recognized the need to provide additional opportunities for early dispute resolution. A 30-day resolution period was added when a parent files a due process complaint. The LEA is required to hold a resolution meeting within 15 days of receiving notice of the parents’ due process complaint to discuss the issues leading to their due process hearing request. This provides the LEA an opportunity to attempt to resolve the issues. The parents and LEA decide which IEP Team members will attend the resolution meeting; however, a LEA representative who has decision-making authority must participate in the resolution meeting. The resolution meeting must be held unless the parents and LEA agree in writing to waive the resolution meeting or agree to use mediation. If the LEA and parents resolve the issues relating to the due process hearing request during a resolution meeting, they must execute a legally binding agreement. If the LEA has not resolved the request for

the due process hearing to the satisfaction of the parents within 30 days of the receipt of the parents’ hearing request, the due process hearing may proceed, and all the applicable timelines begin.

## Due Process Complaints & Hearing Requests

Twenty-two (22) due process complaints were filed with the WVDE during the FY 2023 school year. All were filed by parents or attorneys representing parents. Eight (8) due process complaints were concluded through a resolution session. Four (4) mediations were requested to resolve due process complaints and resulted in mediation agreements. There were no fully adjudicated hearings with a decision issued by a hearing officer in FY 2023.

## IDEA Due Process Hearing Complaint Issues

|  |  |  |
| --- | --- | --- |
| **Case Number** | **Alleged Violation** | **Action** |
| D23-001 | The parent alleges the LEA failed to implement the student’s IEP. | Resolution Agreement |
| D23-002 | The parent alleges that because of COVID-19 and issues with the student’s IEP during the 2020-2021 school year, the student should be permitted to attend an additional year of in-school instruction. | Mediation did not result in an agreement but case was subsequently settled. |
| D23-003 | Parent alleges the LEA failed to respond to requests for the student’s special education records and failed to provide the student a speech evaluation. | Resolution Agreement |
| D23-004-E | The parent alleges violations of Child Find: the LEA failed to identify the student as a student with a disability who needed special education services and apply appropriate discipline procedures, resulting in a denial of FAPE. | Expedited; resolved within 15 days |
| D23-005-E | The parent alleges the LEA’s failure to implement the student’s IEP and provide appropriate services resulted in the student’s suspension and expulsion due to behaviors. | Expedited; complaint withdrawn |
| D23-006 | The parent alleges the LEA failed to implement the student’s IEP, specifically accommodations and modifications, resulting in a denial of FAPE in the student’s LRE. | No agreement through mediation; complaint was subsequently resolved. Withdrawn. |
| D23-007 | The parent alleges the LEA failed to provide appropriate supports to address the student’s behaviors. | Withdrawn |
| D23-008 | The parent alleges violations of Child Find: the LEA failed to identify the student as a student with a disability who needed special education services and failed to conduct a timely multidisciplinary evaluation. | Resolution |
| D23-009 | The parent alleges violations of Child Find: the LEA failed to identify the student as a student with a disability who needed special education services and failed to conduct a timely multidisciplinary evaluation. | Resolution |
| D23-010 | The parent alleges the LEA failed to provide the student with a FAPE in the student’s LRE, including appropriate aids, supports and modifications, a safe environment and to follow the student’s behavior plan and properly train and supervise staff. | Agreement finalized; complaint; withdrawn |
| D23-011 | The parent alleges the LEA failed to address the student’s behavior and communication needs and failed to conduct an appropriate Manifestation Determination Meeting (MDM). | Mediation M23-008; complaint withdrawn. |
| D23-012-E | The parent challenges the LEA’s decision to move the student to a more restrictive environment (homebound) for behaviors the parent alleges are a manifestation of the student’s disability. | Agreement reached; complaint dismissed. |
| D23-013-E | The parent alleges the LEA failed to consider an independent evaluation and provide the student appropriate accommodations and supports. The parent also alleges the LEA disciplined the student inappropriately and reached an incorrect Manifestation Determination decision. | Settlement reached; complaint withdrawn. |
| D23-014 | The parent alleges the LEA failed to provide the student FAPE in the student’s least restrictive environment (LRE). More specifically, the parent states the LEA failed to implement the student’s IEP, provide appropriate accommodations and modifications, did not conduct a timely Functional Behavior Assessment (FBA) or timely Manifestation Determination Meeting, denied the student appropriate behavior supports and did not implement an appropriate Behavior Intervention Plan (BIP). | Issues resolved: complaint withdrawn. |
| D23-015 | The parent alleges the LEA failed to provide the student with appropriate accommodations and to review and consider evaluations in a timely manner. | Issues resolved; complaint withdrawn. |
| D23-016 | The parent alleges the LEA failed to develop an appropriate IEP, removed services without convening an IEP Team meeting, failed to provide behavior support and implement a Behavior Intervention Plan (BIP) and failed to provide services when the student was suspended from school, all resulting in a denial of FAPE. | Resolution |
| D23-017 | The parent alleges the LEA did not implement the student’s IEP, resulting in a denial of FAPE. | Parent rejected mediation request; complaint eventually dismissed without prejudice by hearing officer. |
| D23-018 | The parent alleges the LEA failed to reevaluate the student, failed to implement the IEP and failed to investigate bullying incidents, resulting in a denial of FAPE. | Resolved; complaint withdrawn. |
| D23-019 | The parent alleges the LEA failed to provide related services in a timely manner or to the extent required by the student’s IEP. | Withdrawn; complaint dismissed. |
| D23-020 | The parent alleges the LEA unilaterally changed the student’s placement to Out of School Environment (OSE) and failed to provide the student FAPE in the Least Restrictive Environment (LRE). | Resolution; complaint withdrawn. |
| D23-021 | The parent alleges the LEA did not address the student’s behavior issues appropriately, resulting in a denial of FAPE. | The parties engaged in mediation (M24-001) and subsequent negotiations resulted in agreement; complaint resolved; dismissed. |
| D23-022 | The parent alleges violations of Child Find: the LEA failed to identify the student as a student with a disability who needed special education services and failed to develop an appropriate IEP. | Resolved; withdrawn. FIEP meeting held; complaint withdrawn. |

**IDEA Due Process Hearing Costs**

The WVDE has entered a contractual agreement for due process hearing officer services following a solicitation process mandated by the State of West Virginia through the West Virginia Purchasing Division. Hearing officers are compensated at the rate specified in each individual contract for preparation, travel, conducting the hearing and preparing and submitting the written decision. The WVDE remits payment to the hearing officer for 2/3 of the approved cost of the hearing officer’s fee.

The LEA remits payment to the hearing officer for 1/3 of the approved cost of the hearing officer’s fee based on a memorandum of understanding between WVDE and the local education agencies. When a case is settled or dismissed prior to a hearing, the hearing officer is only paid for time accrued, which is considerably less than when a hearing occurs. The WVDE is responsible for 100% of the cost of a court reporter for the due process hearing. The LEA is responsible for the cost of the LEA’s attorney.

The total cost of due process complaints for FY 2023 was $21,963.75. The chart below breaks down the specific costs paid by the WVDE and the LEA, and the total cost for each due process complaint.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Case Number** | **Hearing Costs** | | | | **Court**  **Reporter**  **Cost** | **Total Hearing Cost** |
| **WVDE Cost** | | | **LEA Cost** |
| D23-001 | $572.00 | | | $286.00 | $0.00 | $858.00 |
| D23-002 | $877.50 | | | $438.75 | $0.00 | $1,316.25 |
| D23-003 | $1,406.00 | | | $703.00 | $0.00 | $2,109.00 |
| D23-004 | $360.00 | | | $180.00 | $0.00 | $540.00 |
| D23-005 | $405.33 | | | $202.67 | $0.00 | $608.00 |
| D23-006 | $382.50 | | | $191.25 | $0.00 | $573.75 |
| D23-007 | $0.00 | | | $0.00 | $0.00 | $0.00 |
| D23-008 | $950.00 | | | $475.00 | $0.00 | $1,425.00 |
| D23-009 | $292.50 | | | $146.25 | $0.00 | $438.75 |
| D23-010 | $1,279.33 | | | $639.67 | $0.00 | $1,919.00 |
| D23-011 | $0.00 | $0.00 | $0.00 | $0.00 | | |
| D23-012 | $899.33 | | | $449.67 | $0.00 | $1,349.00 |
| D23-013 | $832.50 | | | $416.25 | $0.00 | $1,248.75 |
| D23-014 | $1,241.33 | | | $620.67 | $0.00 | $1,862.00 |
| D23-015 | $156.67 | | | $113.33 | $0.00 | $270.00 |
| D23-016 | $734.67 | | | $367.33 | $0.00 | $1102.00 |
| D23-017 | $630.00 | | | $315.00 | $0.00 | $945.00 |
| D23-018 | $380.00 | | | $190.00 | $0.00 | $570.00 |
| D23-019 | $923.00 | | | $461.50 | $0.00 | $1,384.50 |
| D23-020 | $337.50 | | | $168.75 | $0.00 | $506.25 |
| D23-021 | $1140.00 | | | $570.00 | $0.00 | $1,710.00 |
| D23-022 | $819.00 | | | $409.50 | $0.00 | $1,228.50 |
| **Total Costs** | **$14,619.16** | | | **$7344.59** | **$0.00** | **$21,963.75** |

The West Virginia Department of Education, Office of Special Education (OSE), continually strives to support West Virginia’s LEAs in meeting the requirements of the Individuals with Disabilities Education Improvement Act and Policy 2419: Regulations for the Education of Students with Exceptionalities through the processes discussed in this report. Additionally, the OSE provides resources and information on all dispute prevention and resolution processes to parents of children with disabilities, adult students with disabilities, and other interested parties. Questions regarding the information provided in this report should be directed to the West Virginia Department of Education, OSE, at 304-558-2696.



Michele L. Blatt West Virginia Superintendent of Schools